



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

09/896,141

FILING/RECEIPT DATE

06/29/2001

FIRST NAMED APPLICANT

David John DePaolo

ATTORNEY DOCKET NUMBER

950270-1

CONFIRMATION NO. 5434

FORMALITIES LETTER



\*OC000000006464580\*

MELVENY & MYERS LLP  
400 So. Hope Street  
Los Angeles, CA 90071-2899

Date Mailed: 08/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$378.
  - **\$378 for 21 total claims over 20.**
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1218.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 AGOITOM 00000089 09896141

|           |           |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:205 | 65.00 OP  |
| 03 FC:203 | 180.00 OP |

**COPY OF PAPERS  
ORIGINALLY FILED**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Box Missing Parts, Assistant Commissioner for Patents, Washington, D. C. 20231.

Date: October 17, 2001

Brian M. Berliner



PATENT  
950270-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: DAVID JOHN DePAOLO

Serial No.: 09/896,141

Filed: June 29, 2001

Title: Method And Apparatus For  
Providing Automated Form Preparation  
And Processing

Art Unit: 2151

Examiner:

**MISSING PARTS TRANSMITTAL LETTER**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Application, Filing Date  
Granted, mailed August 23, 2001, enclosed please find the following:

1. Filing Receipt
2. Copy of the Notice To File Missing Parts;
3. Check in the amount of \$65.00 to cover the filing fee for the Notice To  
File Missing Parts;
4. Check in the amount of \$370.00 to cover the basic filing fee;

Serial No. 09/896,141  
October 17, 2001  
Page 2

5. Check in the amount of \$180.00 for additional 21 claims over 20;
6. Declaration signed by the inventor; and
7. Power of Attorney.

**APPLICANT CLAIMS SMALL ENTITY STATUS.**

The original Assignment transferring the rights in the above-identified patent application to Workcompcentral.com, Inc. was forwarded on this date to the Assignment Branch of the USPTO for recordation.

The Commissioner of Patents is authorized to charge any amount due, or credit any overpayment, to Deposit Account No. 50-0639. A duplicate copy of this paper is enclosed.

Date: October 17, 2001

Respectfully submitted,



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Brian M. Berliner  
Attorney for Applicant  
Registration No. 34,549

**O'MELVENY & MYERS LLP**  
400 South Hope Street  
Los Angeles, CA 90071-2899  
Telephone: (213) 430-6000

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration and petition.

**Full name of sole inventor:** David John DePaolo

Inventor's signature: \_\_\_\_\_

Date: 10/10/01

Residence: 124 Mainsail Court, Port Hueneme, CA 93041

Citizenship: U.S.A.

Post Office Address: Same as above